

# CAMPAIGN CONTRIBUTION AND EXPENDITURE REPORT

State and District Candidates Only

**To be filed with:**  
**Mark Martin, Secretary of State**  
**State Capitol, Room 026**  
**Little Rock, AR 72201**  
**Phone (501) 682-5070**  
**Fax (501) 682-3408**

Check if this report is an amendment

For assistance in completing  
 this form contact:  
 Arkansas Ethics Commission  
 Post Office Box 1917  
 Little Rock, AR 72203-1917  
 Phone (501) 324-9600  
 Toll Free (800) 422-7773

**THIS FORM CANNOT BE USED FOR THE FINAL REPORT - ALL INFORMATION MUST BE COMPLETE  
 THIS REPORT MUST BE FILED WITH THE SECRETARY OF STATE**

**1. Name of Candidate**

Duane Neal

**Address**

5 Oak Ridge Lane

**City, State and Zip**

Bentonville, AR 72712

**Phone Number:**

479-903-5690

**Office Sought**

State Representative District 093

**District Number:**

93

Does the candidate have a campaign committee? ( ) Yes (X) No

If yes, complete the following:

Name of Chairperson/Treasurer:

Mailing Address:

Phone Number:

(Secretary of State File Stamp)

**2. Type of Election:** (check one only)

Year of Election: 2012

Primary  Primary Runoff  General  General Runoff  Special

**3. Type of Report:** (check one only)

This report covers what period? ( 04 / 01 / 12 ) through ( 05 / 12 / 12 )

10 Day Preelection

First Quarter (due April 15)

Second Quarter (due July 15)

Third Quarter (due October 15)

Fourth Quarter (due January 15)

January Monthly

February Monthly

March Monthly

April Monthly

June Monthly

July Monthly

August Monthly

September Monthly

October Monthly

Special Elections Only:

May Monthly

November Monthly

December Monthly

**SUMMARY**

**FOR REPORTING PERIOD**

**CUMULATIVE TOTAL**

**4. Balance of campaign funds at beginning of reporting period**

3,447.04

**5. Interest (if any) earned on campaign account**

0.00

0.00

**6. Total Loans (enter total from line 12)**

5,000.00

5,000.00

**7. Total Monetary Contributions (enter total from line 18)**

4,850.00

16,485.00

**8. Total Expenditures (enter total from line 27)**

6,416.36

14,604.32

**9. Balance of campaign funds at close of reporting period**

6,880.68

**10. ( ) NO ACTIVITY** (check if you have not received contributions, loans, or made expenditures during this reporting period)

I certify to the best of my knowledge and belief that the information disclosed in this report is a complete, true, and accurate financial statement of my (the candidate's) campaign contributions and expenditures.

\_\_\_\_\_  
 Signature of Candidate or Candidate's Representative

Sworn to and subscribed before me, a Notary Public, in and for \_\_\_\_\_, County, Arkansas, on this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

(Legible Notary Seal)

Notary Signature \_\_\_\_\_

My Commission Expires: \_\_\_\_\_

**Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days.**

The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A. § 7-6-201 through § 7-6-227. This report constitutes a public record. This form has been approved by the Arkansas Ethics Commission.

## 11. LOAN INFORMATION

Please Type or Print  
Do not list loans previously reported

DATE	NAME AND ADDRESS OF LENDING INSTITUTION	GUARANTOR(S) IF ANY	AMOUNT
05/03/2012	Duane Neal 5 Oak Ridge Lane Bentonville, AR 72712-6727		5,000.00
<b>12. TOTAL LOANS DURING REPORTING PERIOD</b>			<b>\$ 5,000.00</b>

### IMPORTANT

The limits on campaign contributions do not apply to loans or contributions made by a candidate from his or her own personal funds to the campaign, or to personal loans made by financial institutions to the candidate and applied to his or her campaign. Any loans made by a candidate to his or her campaign and any loans made by a financial institution to a candidate and applied to his or her campaign shall be reported in Section 11.

If a candidate desires to use or raise campaign funds to repay himself or herself for personal funds that he or she contributed to the campaign, then he or she would need to report those personal funds as a loan in Section 11.

If a candidate does not desire to use or raise campaign funds to repay himself or herself for personal funds that he or she contributed to the campaign, then those personal funds would not be reported in Section 11. Instead, they would be reported as a campaign contribution either in Section 15 or on line 17, depending upon the amount.

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### 13. NONMONEY CONTRIBUTIONS

(Does not include volunteer services by individuals)

Date of receipt	Full Name and Address of Contributor	Description of nonmoney item	Value of nonmoney item	Cumulative Total From This Contributor
05/02/2012	Stephens Investments Holdings LLC 111 Center Street Little Rock, AR 72201	Food - Doe's	189.32	299.32
05/02/2012	Stephens Investments Holdings LLC 111 Center Street Little Rock, AR 72201	Invitations & Postage	110.00	299.32
<b>14. TOTAL NONMONEY CONTRIBUTIONS</b>			299.32	

### **IMPORTANT**

In addition to monetary contributions, candidates are required to report the receipt of any nonmonetary ("in-kind") contributions. A candidate receives an in-kind contribution whenever a person provides him with an item or service without charge or for a charge which is less than the fair market value of the item or service in question.

The value of an in-kind contribution is the difference between the fair market value and the amount charged. In-kind contributions are addressed in greater detail in Sections 205 and 206 of the Commission's Rules on Campaign Finance & Disclosure.

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## 15. ITEMIZED MONETARY CONTRIBUTIONS OVER \$50

Please Type or Print  
(Use Additional Copies Of This Page If Necessary)

Date	Full Name And Mailing Address Of Contributor	Place Of Business/ Employer/Occupation	Amount Of Contribution	Cumulative Total From This Contributor
04/09/2012	Arvest Bank Group Inc. Pac, Inc.-Arkansas P.O. Box 799 Lowell, AR 72745-0799	PAC	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  500.00	500.00
04/12/2012	George R Spence 212 S Main St. Bentonville, AR 72712	Clark & Spence Law Firm - Attorney	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  250.00	250.00
04/12/2012	Antonio Justice 2108 Castle View Rd. Mansfield, TX 76063	Employer - ITW Occupaton - Software Management	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  250.00	250.00
04/07/2012	ARSFA PAC, Inc PO Box 16503 Little Rock, AR 72231	PAC	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  250.00	250.00
04/16/2012	Dessie Miller 1508 SE 22nd St Bentonville, AR 72712	Retired	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  200.00	200.00
04/25/2012	James P Hendren 15316 N. Hwy 59 Sulphur Springs, AR 72768	Hendren Plastics Owner	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  500.00	500.00
04/27/2012	JIM WALTON P O BOX 1860 BENTONVILLE, AR 72712	RETIRED	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  1,000.00	1,000.00
05/02/2012	Arkansas Medical Society, ARKMED-PAC PO Box 55088 Little Rock, AR 72215-5088	PAC	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  200.00	200.00
05/02/2012	STEPHENS INVESTMENT HOLDINGS, LLC P O BOX 3507 LITTLE ROCK, AR 72203	BUSINESS	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt  500.00	500.00
<b>Subtotal of Contributions This Page</b>			3,650.00	

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## ITEMIZED MONETARY CONTRIBUTIONS OVER \$50

Please Type or Print

Date	Full Name And Mailing Address Of Contributor	Place Of Business/ Employer/Occupation	Amount Of Contribution	Cumulative Total From This Contributor
05/02/2012	Lane Jean 1105 Lawton CR Magnolia, ar 71753	State Rep	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt <p style="text-align: right;">250.00</p>	250.00
05/09/2012	Arkansas State Chamber Pac P.O. Box 3645 Little Rock, AR 72203	PAC	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt <p style="text-align: right;">250.00</p>	250.00
05/09/2012	Wm. A Harrison 1501 Westpark, Ste. 0 Little Rock , AR 72204	Harrison Energy Partners, CEO	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt <p style="text-align: right;">100.00</p>	100.00
05/10/2012	The Stephens Group, LLC PO Box 3417 Little Rock, AR 72203	Little Rock, AR  LLC	<input checked="" type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt <p style="text-align: right;">500.00</p>	500.00
			<input type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt	
			<input type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt	
			<input type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt	
			<input type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt	
			<input type="checkbox"/> Primary Election <input type="checkbox"/> Primary Run-Off <input type="checkbox"/> General Election <input type="checkbox"/> General Run-Off <input type="checkbox"/> Debt	
<b>16. TOTAL ITEMIZED MONETARY CONTRIBUTIONS OVER \$50</b>			4,750.00	
<b>17. TOTAL NONITEMIZED MONETARY CONTRIBUTIONS</b>			100.00	
<b>18. TOTAL MONETARY CONTRIBUTIONS THIS REPORT</b> (includes totals from lines 16 and 17)			4,850.00	

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## 19. CAMPAIGN EXPENDITURES BY CATEGORY

Please Type or Print

CATEGORY	TOTAL AMOUNT
Filing Fee	
Television Advertising	
Radio Advertising	
Newspaper Advertising	
Other Advertising	1,250.06
Office Supplies	
Rent	
Utilities	
Telephone	
Postage	
Direct Mail	4,645.30
Travel Expenses	
Entertainment	
Fundraising	
Repayment of Loans	
Returned Contributions	
Consultant Fees	
Polls	
Paid Campaign Workers	
Other (list)	
Pyrix Fees	13.50
Database Creation	315.00
Mailpiece(s) Layout	192.50
<b>20. TOTAL CAMPAIGN EXPENDITURES</b>	<b>6,416.36</b>

### 21. PAID CAMPAIGN WORKERS

(Include any person you paid to work on your campaign, does not have to be full-time worker)

NAME OF WORKER	AMOUNT PAID	NAME OF WORKER	AMOUNT PAID
<b>22. TOTAL AMOUNT PAID CAMPAIGN WORKERS</b>			<b>0.00</b>

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## 23. ITEMIZED CAMPAIGN EXPENDITURES OVER \$100

Please Type or Print  
(Use additional copies of this page if necessary)

Name and Address of Supplier/Payee	Description of Expenditure	Date of Expenditure	Amount of Expenditure
DFI Mail PO Box 88 Greenland, AR 72737	Yard Signs	04/07/2012	875.06
Zim Creative 220 E 4th St. Russellville, AR 72801	Mailpiece Layout	04/07/2012	110.00
Diamond State Consulting 609 Locust Ave Conway, AR 72034	Direct Mail	04/23/2012	2,322.65
Diamond State Consulting 609 Locust Ave Conway, AR 72034	Direct Mail	05/02/2012	2,322.65
Soltel Networks, LLC 105 NW 2nd Street Suite 207A Bentonville, AR 72712	Database Creation	05/04/2012	315.00
Soltel Networks, LLC 105 NW 2nd Street Suite 207A Bentonville, AR 72712	Rack Cards	05/09/2012	275.00
<b>24. TOTAL ITEMIZED EXPENDITURES THIS REPORT</b>			<b>6,220.36</b>
<b>25. TOTAL NONITEMIZED EXPENDITURES THIS REPORT</b>			<b>196.00</b>
<b>26. TOTAL PAID CAMPAIGN WORKERS THIS REPORT (enter total from line 22)</b>			<b>0.00</b>
<b>27. TOTAL EXPENDITURES THIS REPORT (includes lines 24, 25 and 26)</b>			<b>6,416.36</b>

**Note: All Expenditures Reflected on Lines 24, 25, and 26 Should Be Totaled by Category In Section 19**

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